526 Rec'd PCT/PTO 07 SEP 2000

	/ PTO-	1390 U.S. DEPARTMENT C	OF COMMERCE PATENT AND TRADEMATINGETICE	ATTON-2Y'S DOCKET NUMBER										
(HEV	1\$-98)		R TO THE UNITED STATES	3673-3 U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)										
DESIGNATED/ELECTED OFFICE (DO/EO/US)														
INITE	DNAT	CONCERNING A FIL TONAL APPLICATION NO.	ING UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED										
""		PCT/BR99/00096	23 N vember 1999	23 November 1998										
T 1 T 1	TITLE OF INVENTION													
1111	MONITORING PATIENT COMPLIANCE AND BIOAVAILABILITY OF DRUGS BY DEPOTEINIZING BODY FLUIDS													
APPLICANT(S) FOR DO/EO/US FERREIRA et al.														
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:														
1.		This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.												
2.	\boxtimes	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.												
3.		This is an express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).												
4.	· 🔲	A proper Demand for International Preliminary Examination was made by the 19 th month from the earliest claimed priority date.												
5.														
<i>;</i>	a. b.	 is transmitted herewith (required only if not transmitted by the International Bureau). has been transmitted by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 												
6.		A translation of the International Application into English (35 U.S.C. 371(c)(2)).												
7.		Amendments to the claims	of the International Application under PCT Arti	icle 19 (35 U.S.C. 371(c)(3)).										
	a. b. c. d.	are transmitted herewith (required only if not transmitted by the International Bureau). have been transmitted by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made.												
8.		A translation of the amendments to the claims under PCT Article 19 (U.S.C. 371(c)(3)).												
9.	\boxtimes	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).												
10.		A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).												
Item	ıs 11.	To 16. Below concern doc	ument(s) or information included:											
11.		An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.												
12.	\boxtimes	An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.												
13.		A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment.												
14.		A substitute specification.												
15.		A change of power of attorney and/or address letter.												
16.	\boxtimes	Other items or information.	SMALL ENTITY CLAIM AND REFUND	REQUEST										

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)			· · · · · · · · · · · · · · · · · · ·			ATTORNEY'S DOCKET NUMBER 3673-3						
09/600,594 PCT/BR99/00096						CALCULATIONS PTO USE ONLY						
17. The following fe			(E).	<u>-</u>		<u> </u>	LCOLATIONS					
BASIC NATIONAL F												
Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO												
and International Search Report not prepared by the EPO or JPO\$970.00												
International preli USPTO but Interr												
International preli												
but international s												
International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$670.00												
International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)\$96.00												
	·		ENTER APPROPRIATE			\$	0.00					
Surcharge of \$130,00 fo	r furnishina th	e oath or de	claration later than 20	□ 30		Н	· <u></u>					
months from the earliest	claimed prior	ity date (37 0	C.F.R. 1.492(e)).			\$	0.00					
CLAIMS	NUMBER		NUMBER EXTRA		ATE							
Total Claims	21	-21 =	0	X	\$18.00	\$	0.00					
Independent Claims	3	-3 =	0	X	\$78.00	\$	0.00					
MULTIPLE DEPENDEN	I CLAIMS(S)	(if applicable	TOTAL OF AB		0.00 III ATIONS -	\$	0.00	-				
Reduction by 16 for filing	by small entit	v if applicat	le. A Small Entity Statem		OLATIONO =	۳	0.00					
must also be filed (Note				•			0.00	•				
					SUBTOTAL =	\$	0.00					
			sh Translation later than [0.00					
months from the earliest claimed priority date (37 C.F.R. 1.492(f)).												
TOTAL NATIONAL FEE =								-				
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property +												
Fee for Petition to Revive Unintentionally Abandoned Application (\$1210.00 - Small Entity = \$605.00)												
		,	TO'	TAL FEES E	NCLOSED =	\$	40.00					
						Α	mount to be:					
						_	refunded	\$				
						<u> </u>	Charged	\$				
 a. A check in the amount of \$40.00 to cover the above fees is enclosed. b. Please charge my Deposit Account No. 14-1140 in the amount of \$ to cover the above fees. A duplicate copy of this form is enclosed. c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed. d. The entire content of the foreign application(s), referred to in this application is/are hereby incorporated by reference in this application. NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status. 												
SEND ALL CORRESPO	<i>1///////</i> URE	SWILL										
NIXON & VANDERHYE P.C. 1100 North Glebe Road, 8 th Floor												
Arlington, Virginia 22201					- NI 1?							
Telephone: (703) 816-40	000			NAME	e N. Lester							
				IAVIAIF								
32,331							September 7, 2000					
					RATION NUMBI	ER	Date					

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

FERREIRA et al.

Atty. Ref.:

3673-3

Serial No.

09/600.594

Group:

unknown

Filed:

July 19, 2000

Examiner:

unknown

For:

MONITORING PATIENT COMPLIANCE AND

BIOAVAILABILITY OF DRUGS BY DEPOTEINIZING

BODY FLUIDS

SMALL ENTITY CLAIM AND REFUND REQUEST

September 7, 2000

Assistant Commissioner for Patents Washington, DC 20231

Sir:

Please accept the Small Entity Verified Statement attached hereto and refund one-half of the fee paid on July 19, 2000 in the above-identified case by crediting \$559.00 to our Account No. 14-1140 under Order No. 3673-3.

When this is approved, <u>please return</u> a <u>copy</u> of this request marked "approved" directly to the undersigned for which purposes this request is submitted in triplicate.

Respectfully submitted,

NIXON & VANDERI

By:

Michelle N. Lester

Reg. No. 32,331

MNL:slj

1100 North Glebe Road, 8th Floor Arlington, VA 22201-4714

Telephone: (703) 816-4000 Facsimile: (703) 816-4100